



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	RECEIPT DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
03/187,379	01/27/94	ROBINSON	UMNC9103A2

RECEIVED

APR 15 1994

APPLICATION DIVISION

0381/0301  
HAMILTON, BROOK, SMITH & REYNOLDS  
TWO MILITIA DRIVE  
LEXINGTON, MA 02173-4799

0000

DATE MAILED: 03/01/94

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
NO FILING DATE**

(Enclosure to Form PTO-1123)

Required items 1-9 below SHOULD be filed, with any items required on the "Notice of Incomplete Application" enclosed with this form. The filing date of this application will be the date of receipt of the items required on the "Notice of Incomplete Application." If items 1 and 3-6 below are submitted after the filing date, THE PAYMENT OF A SURCHARGE OF \$ 150.00 large entities or \$ 75.00 for small entities who have filed a verified statement 37 CFR 1.27 claiming such status will also be required. (37 CFR 1.16(e)).

The total amount owed by applicant as a ☐ large entity ☐ small entity (verified statement filed) is \$ 150.00.

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity ☐ small entity must submit \$ \_\_\_\_\_ to complete the basic filing fee.
2. ☐ Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. ☐ The oath or declaration:  
☐ is missing.  
☐ does not cover items required on "Notice of Incomplete Application."  
An oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Receipt Date, is required.
5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, referring to the above Application Number and Receipt Date, is required.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration: \_\_\_\_\_ An oath or declaration signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date, is required.
7. ☐ A \$ \_\_\_\_\_ processing fee is required for returned checks. (37 CFR 1.21(m)).
8. ☐ The application does not comply with the Sequence Rules. See attached Notice To Comply with Sequence Rules 37 CFR 1.821-1.825.
9. ☐ Other:

Direct the response and any questions about this notice to, Attention: Application Processing Division, Special Processing and Correspondence Branch.

**A copy of this notice MUST be returned with the response.**

Application Processing Division  
(703) 308-1202

UMMC93A2.TLP  
EWM12:WP  
EWM:baf  
03/31/94



65 205 A/H  
PATENT APPLICATION  
Docket No.: UMMC91-03A2

4600 #4 RECEIVED  
APR 20 1994  
SPECIAL PROGRAMS OFFICE  
A/C PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harriet L. Robinson, Ellen F. Fynan, Robert G.  
Webster and Shan Lu

Serial No.: 08/187,879

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APR 13 1994

Filed: January 27, 1994

APPLICATION DIVISION

For: Immunization by Inoculation of DNA Transcription  
Unit

<p align="center"><b>CERTIFICATE OF MAILING</b></p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Honorable Commissioner of Patents and Trademarks, Washington, D.C. 20231 on</p> <p align="center"><u>March 31, 1994</u></p> <p align="center">Hamilton, Brook, Smith &amp; Reynolds, P.C.</p> <p align="center"><u>Barbara A. Forant</u></p> <p align="center">Signature</p> <p align="center"><u>Barbara A. Forant</u></p> <p align="center">Typed or Printed Name of Person Signing Certificate</p>
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TRANSMITTAL OF DECLARATION/POWER OF ATTORNEY

The Honorable Commissioner  
of Patents and Trademarks  
Washington, DC 20231

Attention: APPLICATION DIVISION

090 BA 04/12/94 08187879  
Sir:

1 205 65.00 CK

In response to the Notice to File Missing Parts of  
Application dated 03/01/94, enclosed herewith are two executed



-2-

Declaration/Power of Attorney documents for filing in the above-identified application.

A copy of the Notice is attached together with a check in the amount of \$65.00 in payment of the surcharge for a Small Entity. Two Verified Statements Claiming Small Entity Status were filed on March 28, 1994.

Please charge any additional fees that may be due in this matter to Attorney's Deposit Account No. 08-0380.

Two duplicate copies of this letter are enclosed for accounting purposes.

Respectfully submitted,

Patricia Granahan

Attorney for Applicants

Registration No. 32,227

Telephone (617) 861-6240

Lexington, MA 02173

Dated: *March 31, 1994*